Statement of Financial Interests For Regional Fishery Management Council Voting Members, Nominees and Members of a Scientific and Statistical Committee

Who needs to file a financial disclosure?

You, because you were nominated by the Governor of a state or appointed by the Secretary of Commerce to serve as a voting member of a Regional Fishery Management Council (Council); or you are a nominee to or a member of a Scientific and Statistical Committee (SSC).

What is the purpose of a financial disclosure?

The duties and responsibilities of your position require you to file the Statement of Financial Interests to fulfill the requirements of the Magnuson-Stevens Act. The purpose of this report is to assist you and NMFS in avoiding conflicts between official duties and private financial interests or affiliations. You may not vote on any Council decision that would have a significant and predictable effect on a financial interest disclosed in your report. Under penalty of law, please ensure that the information you provide is complete and accurate.

What must I disclose on this form?

The Magnuson-Stevens Act requires the disclosure of any financial interest in harvesting, processing, lobbying, advocacy, or marketing activity that is being, or will be, undertaken within any fishery over which a Council has jurisdiction. This also includes employment with an entity that provides consulting, legal, or representational services relating to harvesting, processing, lobbying, advocacy, or marketing activities. You must disclose such financial interest held by yourself; your spouse, minor child, business partner; or any organization (other than the Council) in which you are serving as an officer, director, trustee, business partner, or employee. This does not include financial interests in entities engaging in scientific fisheries research.

When do I need to file my financial disclosure?

Nominees: This form must be filed by each nominee for Secretarial appointment with the Assistant Administrator by April 15 or, if nominated after March 15, 1 month after nomination by the Governor.

Members: A seated voting member appointed by the Secretary must file this form with the Executive Director of the appropriate Council within 45 days of taking office; and must file an update with the Executive Director of the appropriate Council within 30 days of the time any such financial interest is acquired or substantially changed. All Council members must file this form annually by February 1st regardless of whether any information on the form has changed.

SSC Nominees and Members: Nominees to an SSC must file this form with the Regional Administrator for the geographic area concerned within 45 prior to appointment; and must file an update with the Regional Administrator for the geographic area concerned within 30 days of the time any such financial interest is acquired or substantially changed. All SSC members must file this form annually by February 1st regardless of whether any information on the form has changed.

Questions?

If you have any questions about how to complete this form, please contact your Council Executive Director, NMFS Regional Office or the NOAA General Counsel for your Council.

PENALTIES

Knowing and willful falsification of information required to be reported may subject you to criminal prosecution or subject you to civil penalties. It is unlawful for an affected individual to knowingly and willfully fail to disclose, or to falsely disclose, any financial interest as required by the Magnuson Stevens Act, or to knowingly vote on a Council decision in violation of this Act. In addition to the criminal penalties applicable, a violation of this provision may result in removal from Council or SSC membership.

STATEMENT OF FINANCIAL INTERESTS

Nominee or Member's Nam	e (Print last, first, mid	ddle initial) Platz, Theodore A.	
Name of Council or Counci	I Committee NEFM	C	
Type of Appointment (list N	lominee or Member)	Member	
Type of Filing: □Annual	☐ Supplemental	☐ Nominee	
In above at a con-			

Instructions

- 1. Carefully read the questions for Parts 1-3 on the following pages.
- In Part 1, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box
 by any applicable financial interest from the list below the question and provide the appropriate detail
 explaining your selection. If you need more room to provide detail, please attach those pages to this
 form.
- 3. In Part 2, you must answer "yes" or "no" for each question provided. If you select "Yes," check the box by any applicable financial interest from the list below the question and provide the appropriate detail explaining your selection. If you need more space to provide detail, please attach those pages to this form.
- 4. In Part 2, if you select "I have no spouse, business partner, or minor children," you may skip the rest of Part 2 and move to Part 3. Answer Part 3, if necessary.
- 5. After you have completed the form, sign and date the form on the last page.
- 6. Submit the completed form to NMFS for Council nominees; to your Council Executive Director for seated Council members; or to the Regional Administrator for the geographic area concerned for SSC members or nominees

Paperwork Reduction Act

Notwithstanding any other provision of law, no person is required to respond to nor shall a person be subject to a penalty for failure to comply with a collection of information subject to the requirements of the Paperwork Reduction Act unless that collection of information displays a currently valid OMB Control Number. The public reporting burden for this information, on this NOAA Form 88-195, is estimated to average 35 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any aspect of this collection of information, including suggestions for reducing this burden to the National Marine Fisheries Service, Office of the Chief Information Officer (F/CIO), 1315 East-West Highway, SSMC #3, 3rd Floor, Silver Spring, Maryland 20910.

Public Access to Information

This form, as completed by seated members of the Regional Fishery Management Councils, will be retained by the Council, made available on the Council's websites, and made available for public review at reasonable hours at the Council's Office and at each public hearing or public meeting.

Part 1: Personal Financial Interest

Section 1.1: Assets and Ownership

No No Yes Check	y stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in wing activities in any fishery under the jurisdiction of the Council concerned? all that apply: Harvesting Processing Marketing Provides equipment essential to any of the listed activities
company or b I own 100% in the follow	d describe each applicable interest, as indicated above. Please include the percentage ownership or is or any other monetary or in-kind enumeration of the company or business. Please include outsiness name, date the interest began, and fishery or fisheries of interest. To of Ocean Harvest, Inc. which was incorporated in 1994 and has participated wing fisheries at various times since then; monkfish, winter skate, dogfish, fluke, eabass, scup, lobster, and jonah crab.
Question 1.1 Do you have any in any of the foll No Yes Check all that ap	y stock, equity or ownership interest (whole or partial ownership) in any fishing vessel engaged lowing activities in any fishery under the jurisdiction of the Council concerned?
company or b Ocean Har	d describe each applicable interest, as indicated above. Please include the percentage ownership or s or any other monetary or in-kind enumeration of the vessel. Please include vessel name, usiness name, date the interest began, gear type and fishery or fisheries of interest. vest owns 100% of the fishing vessel Louise which currently participates akfish, winter skate, fluke, dogfish, bluefish, seabass, and scup fisheries.

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the foll	No Yes	ctivities in any fishery under	p int	erest (whole or partial ownership) in any other entity engaged in any of jurisdiction of the Council concerned?
		all that apply: Harvesting		
		Processing		
		Marketing		
		Provides equipment esser Provides other services es	itiai sent	to any of the listed activities ial to any of the listed activities
share	holding	d describe each applicable in s or any other monetary or n, and fishery or fisheries of	in-ki	est, as indicated above. Please include the percentage ownership or ind enumeration of the entity. Please include entity name, date the erest.
	on 1.1		inte	erest (whole or partial) in a company, business, or other entity that
provides	s any of No	the following services for an	y fis	hery under the jurisdiction of the Council concerned?
	Yes			
0	*	all that apply: Consult	ing	
		Lobbying Legal	6	
				tional Services
share	holding.	d describe each applicable in s or any other monetary or i n, and fishery or fisheries of	in-ki	est, as indicated above. Please include the percentage ownership or and enumeration of the entity. Please include entity name, date the rest.
Questi	on 1 1	5	4	
Do you l	have any or parti	stock, equity or ownership	enga	erest in any entity that either owns (wholly or partially) or is owned by ged in or that provides any of the following activities or services for any erned?
	No			
0	Yes			
	Check a	ll that apply:		
		Consulting		Harvesting
		Legal Representational Services		Processing
		Lobbying		Marketing Provides equipment essential to any of the listed activities
		Advocacy		Provides other services essential to any of the listed activities

Expiration Date 8/31/26

Please list and describe each applicable interest, as indicated above. Please include the percentage ownership o share holdings or any other monetary or in-kind enumeration of the entity. Please include company or business name, date the interest began, and fishery or fisheries of interest.
Section 1.2: Employment
Question 1.2.1 Do you have employment with any company or business engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned? O No Yes Check all that apply:
 Harvesting Provides equipment essential to any of the listed activities Processing Provides other services essential to any of the listed activities Marketing
Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, company or business name, and fishery or fisheries of interest. Since its inception in 1994, I have been employed by Ocean Harvest, Inc primarily as a captain, but also as a truck driver and small business owning wearer of many hats.
Question 1.2.2 So you have employment with a fishing vessel engaged in any of the following activities in any fishery under the urisdiction of the Council concerned? No Yes
Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, vessel name, gear type, and fishery or fisheries of interest. I continue to serve as an occaisonal captain for the fishing vessel Louise participating in fixed gear fishing and some limited pot fishing for the
aforementioned stocks.

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Do you have employment with any other entity engaged in any of the following activities in any fishery under the jurisdiction of the Council concerned?
No
O Yes
Check all that apply:
☐ Harvesting
□ Processing
Marketing
 Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include beginning date of employment, entity name, and fishery or fisheries of interest.
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Question 1.2.4
Do you have employment with any company, business, or other entity that provides any of the following services for an
fishery under the jurisdiction of the Council concerned? No
O Yes
Check all that apply: Lobbying Consulting
□ Advocacy □ Legal
Representational Services
Please list and describe each applicable interest, as indicated above. Please include beginning date
of employment, entity name, and fishery or fisheries of interest.
Question 1.2.5
Do you have employment with any entity that either owns (wholly or partially) or is owned by (wholly or partially)
another entity that is engaged in or that provides any of the following activities or services for any fishery under the jurisdiction of the Council concerned?
No
O Yes
Check all that apply:
☐ Consulting ☐ Harvesting ☐ Lobbying
☐ Legal ☐ Processing ☐ Advocacy
☐ Representational Services ☐ Marketing
Provides equipment essential to any of the listed activities
Provides other services essential to any of the listed activities

Please list an of employme	d describe each nt, entity name,	applicable interest, as indicated above. Please include beginning date and fishery or fisheries of interest.
- 4		
Question 1.2.	6	
Do you have em	ployment with a	n association or organization whose members include companies, vessels, or
other entities th of the Council co	at are engaged in	n any of the following activities or services for any fishery under the jurisdiction
No	oncernea?	
O Yes		
	all that apply:	
	Harvesting	Consulting
	Processing	Legal
		Representational Services
		ment essential to any of the listed activities
		services essential to any of the listed activities
Section 1.3:	Other Service	e with an association or organization
uestion 1.3.	1	
he jurisdiction o	as an officer, dire entities that are f the Council con	ector, or trustee of any organization or association whose members include compani engaged in or provide any of the following activities or services for any fishery under occurred?
O Yes		
Check al	Il that apply:	
	Harvesting	Consulting
	Processing Lobbying	Legal Representational Services
	Advocacy	
	Marketing	
	Provides equipm	nent essential to any of the listed activities
	Provides other s	services essential to any of the listed activities
Please list and entity name, a	describe each ap nd fishery or fish	oplicable interest, as indicated above. Please include beginning date of service, series of interest.

Part 2: Financial Interest of Spouses, Business Partners, and Minor Children

If you have no spouse, business partner, or minor children, check the box below and proceed to Part 3. I have no spouse, business partner, or minor children
Section 2.1: Assets and Ownership
Question 2.1.1 Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial ownership) in a company or business engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned? No Yes Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the company or business. Please include company or business name, date the interest began, and fishery or fisheries of interest.
Question 2.1.2 Does your spouse, business partner, or minor child have any stock, equity or ownership interest (whole or partial) in any fishing vessel engaged in any of the following activities for any fishery under the jurisdiction of the Council concerned? No Yes Check all that apply: Harvesting Processing Marketing Provides equipment essential to any of the listed activities Provides other services essential to any of the listed activities
Please list and describe each applicable interest, as indicated above. Please include the percentage ownership or share holdings or any other monetary or in-kind enumeration of the vessel. Please include vessel name, company or business name, date the interest began, gear type, and fishery or fisheries of interest.

Question 2.1.3

the jurisdiction	se, business partner, or minor	
	of the Council concerned? Ill that apply: Consulting Legal Representational Services Lobbying Advocacy	child have any stock, equity or ownership interest (wholly or tity that provides any of the following services for any fishery under
share holding:	describe each applicable inte or any other monetary or in- o, and fishery or fisheries of in	erest, as indicated above. Please include the percentage ownership -kind enumeration of the entity. Please include entity name, date the terest.
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Section 2.2 Employment of Spouse, Business Partner, or Minor Child

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of the following	ise, business partner, or minor child have employment with any company or business engaged in a
	activities for any fishery under the jurisdiction of the Council concerned?
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O Yes	
163	Il that apply:
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	Processing
	Marketing
	Provides equipment essential to any of the listed activities
	Provides other services essential to any of the listed activities
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Question 2.2.4

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	k all that apply: ☐ Lobbying		Consultin	g			
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Section 2.3: Service with an association or organization by Spouse, Business Partner, or Minor Child

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association whose member	s partner, or minor child serve as an officer, director, or trustee of any organization or rs include companies, vessels, or other entities engaged in any of the following activities in any on of the Council concerned?
● No ○Yes	
Check all that app Harvestin Processin Lobbying Advocacy Marketin Provides Provides	Consulting Legal Representational Services
beginning date of servic	celationship and describe each applicable interest, as indicated above. Please include see, entity name, and fishery or fisheries of interest. On Requested of Other Persons
n the event any of the ownership, is not kno	e required information, including holdings placed in trust or percentage wn to you but is known to another person, you should request that other information on your behalf and should report such request in the space
and describe each applica	ou have requested information for Part 3. When available, have that person please list able interest. Please include the percentage ownership or share holdings or any other meration. Please include entity name, date the interest began, and fisheries of interest.

Signature

I certify that the statements I have made on this form and all attached statements are true, complete, and correct to the best of my knowledge.

Signature Led Flag III

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