Document 3c: Hierarchical structure: Overarching Goal, Strategic Objectives, Operational Objectives, & Desirable Management Components

Overarching Goal

To protect the ecological integrity of US marine resources as a sustainable source of wealth and well-being for current and future generations (Goal A)

Strategic Goals (Derived from Magnuson definition of OY as in Risk Policy Document):

- I. Optimize Food Provision
- II. Optimize Employment
- III. Optimize Recreational Opportunity
- IV. Optimize Intrinsic (Existence) values
- V. Optimize Profitability (Objectives 5,7
- VI. Encourage stability in both the biological and social systems (Objectives 2, 3,4,5,7)

Strategic Objectives for the ecosystem supporting the above

- 1. Maintain/restore functional production levels (ecosystem, community scale emphasis) (Objectives 1,4,5, 12?
- 2. Maintain/restore functional biomass levels (community/species scale emphasis) (Objectives 1,4,5, 17
- 3. Maintain/restore functional trophic structure (Objectives 2,4,5, 17)
- 4. Maintain/restore functional habitat (Objectives 5?, 12?, 20?

Operational Objectives (SMART: Specific, Measurable, Achievable, Relevant, Timebound):

*****illustrative examples for strategic ecosystem objectives only*****

- 1. Ecosystem and community/aggregate fishing mortality and or total catch is below established dynamic threshold
 - a. Phrased as probability according to risk policy
 - b. Specified for each spatial scale and time unit
 - c. Dynamic to account for environmental/climate shifts
 - d. "GB EPU total catch has less than 40% probability of exceeding the total catch limit between 2016-2018"
- 2. Fishing-related mortality for threatened/endangered/protected species is minimized (could establish caps if desired)

- 3. Managed and protected species biomass is above established minimum threshold
 - a. Phrased as probability according to risk policy
 - b. Specified for each spatial scale and time unit
 - c. Dynamic to account for environmental/climate shifts
 - d. "GB haddock biomass has less than 40% probability of dropping below minimum B threshold between 2016-2018"
- 4. Maintain ecosystem structure within historical variation, recognizing inherent dynamic properties of the system; Ecosystem structure includes size structure, trophic structure, and functional group structure.
 - a. Maintain size structure within acceptable limits; e.g.
 - i. *The large fish indicator within defined limits
 - b. Maintain trophic structure within acceptable limits; e.g.
 - i. *Mean trophic level of the catch within defined limits
 - ii. *Marine trophic index of the community (MTI) within defined limits
 - iii. *Mean trophic level of the community within defined limits
 - iv. *Mean trophic level of the modelled community within defined limits
 - c. Maintain functional group/guild structure within acceptable limits; e.g.
 - i. *Functional Group/Guild-level biomass across ecosystem components within defined limits
- 5. Maintain habitat productivity and diversity
- 6. Habitat structure and function are maintained for exploited species
- 7. Minimize the risk of permanent (>20 years) impacts; e.g.
 - a. Corals and sponges
 - b. Other vulnerable biogenic habitats
 - c. Coastal habitats vulnerable to Aquatic Invasive Species (AIS)
 - d. Vulnerable physical habitats (e.g. relict glacial gravel banks)

Management Components:

- I. Create adaptive processes and structures (Objective 8) that:
 - a. Aligns fishermen's incentives with management goals and provide fishermen flexibility in how they fish (Objectives 1, 7)
 - i. Facilitate ongoing adaptation of ecosystems and fishing fleets to a changing climate.
 - ii. Allocate and manage catches in a spatial dimension rather than by species
 - iii. Management Tools
 - 1. catch shares
 - 2. points
 - 3. other
 - b. Simplifies regulations and slow the pace of regulatory change (Objective 9)
 - c. Provides mechanisms for addressing tradeoffs (Objective 10)
 - d. Generates robust and accurate management advice
 - i. Estimate uncertainty in ecosystem and multispecies assessments

- 1. Develop, test, and verify ecosystem trophic models and management strategy evaluation models to provide strategic and tactical management advice
- 2. Calculate total removals including incidental mortality and relate removals to standing biomass, production, optimum yields, natural mortality and trophic structure
- 3. Define and implement appropriate buffers against uncertainty
- ii. Ensure climate change risk adequately incorporated
- iii. Establish ecosystem indicators, reference points (targets) and performance thresholds in a manner that links to executing management tools
- iv. Apply co-management procedures
- v. Assess the ecological, human and institutional elements of the ecosystem which most significantly affect fisheries, and are outside Council/NMFS jurisdiction and define a strategy to address those influences
- vi. Improve data availability and usability
 - 1. Generate indicators at multiple levels of ecological complexity, operating space, and time scales
 - 2. Develop, test, and verify spatial analysis tools for guiding and supporting management decisions at various spatial scales, including the EPU and management sub-units
- e. Prioritizes ecosystem research with highest returns
 - i. Develop conceptual model of EPU food web
 - ii. Describe habitat needs of different life history stages of animals and plants in the "significant foodweb" and manage appropriately
- II. Define an EPU and management units that are consistent with species occurrence and human activity based on statistical patterns of similarity.
- III. Evaluate ecosystem sampling and monitoring programs
 - a. Implement broad ecosystem monitoring programs
 - b. Assess role of MPAs in preserving productivity and population structure for a wide range of species
 - i. Investigate link between marine resource productivity and habitat quality and
 - ii. identify most valuable/vulnerable habitats
 - c. Ensure adequate prey availability in times and areas needed to support abundant megafauna