

8/29/2019

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RSA Review Panel Findings and Recommendations			Priority Level (Staff has identified strawman recommendations as "high")	Timing (Short-term, Medium-term, Long-term)	Which Plan(s)? (Scallop, Herring, Monkfish, All)	How to address? Council Action, Grants Admin., staff adjustment (POTENTIAL LEAD)
1	Finding 1	RSA programs performing well, generally highly successful, especially the scallop program.				
2	Rec. 1	Caution should be exercised not to screw up a good thing.				
3	Finding 2	Some concerns do exist about some aspects of the program.				
4	Rec. 2	Potential ideas to improve several aspects of RSA programs				
5		2.1	Inadequacies in priority setting process	HIGH	M	All (NEFMC)
6		2.1.a	Invest more time and effort in development of priorities and specific deliverables, one meeting may not be enough.	HIGH	M	All (NEFMC)
7		2.1.b	PDTs with NEFSC identify status of each priority, continued need, specific deliverable needed, and when it may be time to remove items from the list.	HIGH	S	All (All)
8		2.1.c	Identify a group with wider experience and less potential for conflict of interest to review RSA priorities (e.g. SSC).	HIGH	M	All (NEFMC)
9		2.1.d	Budget RSA by topic rather than ranking priorities to establish Council agreement on program balance. Could be indicative rather than binding to maintain flexibility.	HIGH	M	All (NEFMC)
10		2.1.e	Align RSA topics with RSA mission statement (see Recommendation 3).	HIGH	S	All (NEFMC)
11		2.1.f	Maintain and review all input on RSA priorities from all levels to maintain transparency and reduce concerns about conflict of interest.	HIGH	M	All (NEFMC)
12		2.2	Perceived weakness and lack of transparency in review processes		S	All (All)
13		2.2.a	NMFS should improve communications about process.		S	All (NEFMC)
14		2.2.b	Consider ways to attract more members of the industry to participate in management review process.		S	All (All)
15		2.3	Limited pool of RSA applicants and recipients		M	All (All)

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16		2.3.a	NEFMC and NMFS could expand efforts to highlight opportunities - Sea Grant networks.		M	All	(All)
17		2.4	<b>Unique challenges created by awarding RSA fishing opportunities instead of monetary awards</b>	HIGH	S	All	(All)
18		2.4.a	To address uncertainty and unpredictability in value NMFS should in consultation with the Council...	HIGH			
19		1	Establish standard procedures on how to specify value estimated for each program.	HIGH	S	All	(All)
20		2	Identify mechanisms to respond to inaccurate price estimates, develop guidelines for when and how these would be used, and guidelines for multi-year grants.	HIGH	S	All	(All)
21		3	Consider transfer of RSA between years.	HIGH	S	All	(All)
22		4	Consider reserving portion of RSA to offset low price estimates.	HIGH	S	All	(All)
23		5	Consider additional compensation fishign incentives.	HIGH	S	All	(All)
24		6	Consider more formal process between NMFS and NEFMC when awarded projects need to be modified.	HIGH	S	All	(All)
25		2.4.b	To increase value of RSA, NMFS in consultation with the Council should consider...	HIGH			
26		1	<b>Scallops</b> - Transfer between years or extend the 3 months RSA carryover provision	HIGH	S	S	(NEFMC)
27		2	Feasibility and benefit of periodically increasing RSA amount (esp. when harvest is high) to create an RSA reserve that could be awarded to grant recipients and harvested later	HIGH	S	S	(NEFMC)
28		3	Allow harvest of scallops in certain areas or under certain situations for RSA only when it's not feasible to harvest them for the general fishery	HIGH	S	S	(NEFMC)
29		4	<b>Monkfish</b> - Exempt vessels on monkfish RSA trips from skate possession limits or other species like groundfish	HIGH	S	M	(NEFMC)

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30		5	Change monkfish RSA from DAS to fixed pounds	HIGH	S	M	(NEFMC)
31		6	Allow transfer of RSA DAS/pounds between fishing years	HIGH	S	M	(NEFMC)
32		7	Ways to expand the pool of vessels eligible to conduct monkfish RSA compensation fishing when demand by currently eligible vessels isn't adequate to use RAS DAS and support research budgets	HIGH	S	M	(NEFMC)
33		8	Additional effort control exemptions that could incentivize monkfish RSA compensation fishing	HIGH	S	M	(NEFMC)
34		9	<b>Atlantic herring</b> - Allow transfer of pounds between fishing years or rollover if transfer isn't possible	HIGH	S	H	(NEFMC)
35		10	Set RSA that isn't attributed to specific management areas or allow pound transfer between areas	HIGH	S	H	(NEFMC)
36		11	Reserve haddock and river herring under catch caps to enable RSA compensation to continue when caps are met by commercial fleet	HIGH	S	H	(NEFMC)
37		12	Consider additional effort control exemptions such as days-out, 1A seasonal gear prohibitions	HIGH	S	H	(NEFMC)
38		13	<b>All RSA species</b> - Use some of choke stocks as RSA since they may be more valuable than target species (has the potential to produce a lot of research support but need to consider several factors)	HIGH	s	All	(NEFMC)
39		14	Review the RSA set-aside amount and compensation fishing performance periodically (e.g. every 5 years)	HIGH	s	All	(NEFMC)
40		15	Encourage compensation from all species landed during RSA compensation fishing trips, not just RSA species (i.e. mackerel on herring trips)	HIGH	s	All	(NEFMC)
41		16	Create an on-line tool to facilitate auctioning for RSA fishing opportunities by RSA awardee	HIGH	s	All	(NEFMC)
42		17	Review and compare compensation fishing allowances between FMPs to ensure general consistency of incentives and flexibilities	HIGH	s	All	(NEFMC)

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43		<b>2.5 Fairness concerns in the ways RSA fishing opportunities are used</b>		S	All	(NEFMC)
44		2.5.a Equitable access to RSA fishing opportunities could be included in grant solicitations as an objective/evaluation criteria and grant awards could include requirements for the distribution of RSA fishing opportunities		S	All	(NEFMC)
45		2.5.b Meetings between RSA grant recipients and vessels interested in compensation fishing could be hosted by NMFS and NEFMC		M	All	(All)
46		2.5.c Perhaps develop an online system to assist connections between RSA grant recipients and vessels interested in compensation fishing		M	All	(All)
47		2.5.d The online system in 2.5.c could be expanded to facilitate an online auction between grant recipients and the fishing industry		M	All	(All)
48		<b>2.6 Timelines of RSA awards</b>				
49		2.6.a NMFS and NEFMC should prepare a detailed time table for steps from priority setting to awarding RSA grants				
50		2.6.b NEFMC should consider initiating the priority setting process earlier in the year esp. if the priority setting process becomes more intensive		S	All	(NEFMC)
51		2.6.c Perhaps stagger the annual cycle of RSA awards for the 3 species		S	All	All
52		<b>2.7 Lack of clarity about financial oversight of grants</b>	HIGH			
53		2.7.a Important to achieve high confidence level in the financial integrity of RSA programs	HIGH	S	All	(NMFS)
54		2.7.b RSA review panel recommends NMFS conduct an internal audit of its financial oversight procedures and strengthen them as appropriate	HIGH	S	All	(NMFS)
55		<b>2.8 Results are not feeding back into the management process as well as they could be</b>	HIGH			

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56		2.8.a	Post award meeting could be scheduled to share/review survey plans for sea scallop RSA survey projects (in April after award announcements and before survey begins); evaluate/adjust survey plans for more efficient overall survey strategy	HIGH	S	S	(All)
57		2.8.b	Advisory Committee could be established for each award with NMFS/Council staff, etc. to provide input throughout the project on ways to increase utility of the project and to identify ways the results can be integrated more effectively; at a minimum 1 NEFSC staff could be assigned to each project to ID if there are ways to enhance results utility	HIGH	S	S	(All)
58		2.8.c	A separate more general Committee could be established to enhance monitoring and tracking of RSA results more intensely than the current system used (Or additional NMFS/Council resources could be dedicated for project oversight)	HIGH	S	S	(All)
59		2.8.d	More formal communication of progress reports could be shared with PDT, Advisory Panels, and Committees to improve monitoring/accountability or RSA awards	HIGH	S	All	(NMFS)
60		2.8.e	Applicants could be required to specify the anticipated impacts of project results if awarded RSA	HIGH	M	All	(PI)
61		2.8.f	Council has been hosting annual "Scallop RSA Share Days" to provide a forum for RSA to be shared with the Scallop PDT and advisory panel. These periodic meetings could be considered for Atlantic herring and monkfish plans too. Goals and objectives for RSA share days should be considered by the Council and NMFS.	HIGH	M	M,H	(NEFMC)
62		2.8.g	Periodic subject based updates on the status of RSA research (but would add to the Council/NMFS staff workloads unless part of cooperative agreement with its own support staff)	HIGH	M	S	(All)

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63		<b>2.9</b> Data generated by RSA funded projects has not always been made available to the public in a timely fashion	HIGH			
64		2.9.a The data sharing policy and rights of data ownership should be clarified in the FFO and on the RSA website	HIGH	S	All	(NMFS)
65		2.9.b Data from RSA-funded projects is public property and should be made available in a consistent format in a publicly accessible database (data warehousing will require additional resources --> solution to build in these costs into accepted proposals)	HIGH	M	All	(NMFS)
66		2.9.c NMFS and the Council should develop an annual report to summarize the status of RSA projects (annually/biannually via newsletter)	HIGH	M	All	(NMFS)
67		<b>2.10</b> Lack of collaboration among scientists participating in RSA grants and NMFS scientists				
68		2.10.a NMFS should encourage its scientists to be collaborators on projects supported by RSA programs and make feasible by establishing more cooperative agreements to implement RSA projects		M	All	(NMFS)
69		2.10.b Holding Advisory Committee meetings for RSA projects with NMFS staff could improve collaboration		M	All	(NMFS)
70	Finding 3 The role of RSA is unspecified such that there does not seem to be a basis to decide what is, or is not, appropriate for support by RSA					
71	<b>Rec. 3</b>	To clarify the role of RSA, the NEFMC should adopt a mission statement for RSA	HIGH	S	All	(All)
72	Finding 4 Sea scallop surveys, which are the largest and most enduring RSA activity, lack an overall design, which likely does not optimize resources and scientific potential					
73	<b>Rec. 4</b>	A series of options for improving the efficiency and effectiveness of resource surveys for scallops should be considered				

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74		<b>4.1</b>	<b>Improvements that can be made that are within the general scope of the current RSA approach include:</b>	HIGH		
75		4.1.a	Annual meetings to coordinate survey activity after selection or awards are made beyond what currently occurs between NMFS and grantees	HIGH	S	S (All)
76		4.1.b	NMFS could explore expanding the role of the scallop survey technical review panel to more broadly consider scallop survey design and survey implementation	HIGH	S	S (NMFS)
77		4.1.c	Extend duration of multi-year grants (up to 5-years) to facilitate stability in sea scallop survey design; could revisit the locations of the surveys each year by engaging an established survey panel (stating the survey location and intensity)	HIGH	S	S (All)
78		4.1.d	Recommendation 2.8 has additional ideas aimed at increasing the use of RSA results, some apply to sea scallop surveys	refer back to 2.8		
79		<b>4.2</b>	<b>Re-establishing the Scallop Survey Advisory Panel with the primary charge of designing an overall strategic approach for sea scallop surveys</b>	HIGH	S	S (All)
80		<b>4.3</b>	<b>Using an RSA supported cooperative agreement to prepare a statistically rigorous (i.e. model based) design for Scallop Surveys</b>	try 4.2 first		
81		<b>4.4</b>	<b>Use a relatively long term cooperative agreement to design and implement Sea Scallop Surveys</b>	if 4.2 successful this could be next step		
82		<b>4.5</b>	<b>Establish a long term Cooperative Agreement for Research Set Aside Programs (CARSAP)</b>	try 4.4 first		
83	<b>Finding 5</b>	<b>Implementing RSA programs generates a substantial administrative workload</b>				

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84	Rec. 5	NMFS, in consultation with the Council, should evaluate and document RSA program administrative capacity to determine where support is sufficient and where it could or should be increased; the RSA review panel supports a dedicated evaluation of resources available and/or needed to ensure RSA programs are functioning well	HIGH	M	All	(All)
85	Finding 6	One or more of the current RSA programs may no longer be viable, but other species may be candidates for RSA in the future				
86	Rec. 6	The NEFMC should consider preparing an Omnibus FMP for Research Set Aside Programs that would be available for all fisheries under the jurisdiction of the Council		L	All	(All)